

Application for License, Permit and Miscellaneous Bonds Bond Bond Number:

A		BOND	INFORMATI							
TYPE OF BOND				BOND AMO	DUNT	REQUESTED EFFECTIVE DATE				
BOND TO BE FILED WITH (OBLIGEE)			ADDRESS OF OF	BLIGEE		<u> </u>				
Does the Applicant have any other Surety bo	nds in force?		☐ Yes ☐	No						
Has another Surety Company declined to wri		ond?	Yes			ne questions above, please attach a				
Have you ever had a bond involuntarily termi	nated or cancelled?		☐ Yes ☐	No '	olanatom.					
В		BUSINE	SS INFORMA	TION						
COMPANY NAME (MUST BE EXACTLY AS IT IS TO	O APPEAR ON BOND)					BUSINESS PHONE				
ADDRESS						BUSINESS FAX				
CITY/ STATE/ ZIP						COMPANY TAX ID NUMBER				
PRIOR BOND OR CURRENT BOND WITH	HOW LONG	BOND NUMBER	REASON	FOR CHANGE						
☐ Individual ☐ Corporation ☐ CORPO	RATION, DATE	, ,	IF PARTI	NERSHIP OR CORPO	RATION, NUMBER C)F				
Partnership LLC/LLP INCORPOR		/ /		RS OR STOCKHOLDE e section C for all stock		% interest)				
DESCRIBE TYPE OF BUSINESS		LICENSE NUMBE		NUMBER OF YEAR		HOW LONG UNDER CURRENT				
						OWNERSHIP?				
BUSINESS ACCOUNT BANK NAME	BANK ADDRESS			<u> </u>		BANK PHONE NUMBER				
BUSINESS CHECKING ACCOUNT NUMBER	ACCOUNT BALANCE		I BUSINESS SA	VINGS ACCOUNT N	IMBER	ACCOUNT BALANCE				
	7.0000.11 27.2 11.02		2001200 0/		, <u>.</u>	ACCOUNT BALANCE				
	0111500									
CREDIT REFERENCES WITH WHOM YOU DO BU	ADDRESS				PHONE NUMBER					
IVAIVIE	ADDICEGO					THORE NOMBER				
NAME	ADDRESS					PHONE NUMBER				
NAIVIE	ADDRESS					PHONE NUMBER				
Have you been involved in a dispute where the Have you been subject to a federal or state to		was filed?		No If you answere No explanation.	d YES to any of the o	questions above, please attach a detailed				
C		ERSONAL IND								
INDIVIDUAL'S FIRST NAME/ MIDDLE NAME/ LAST		-NOONAL IND		S LICENSE NUMBER	DATE OF BIRTH	SOCIAL SECURITY NUMBER				
			3	0 2.02.102 110	37112 01 311111					
□ Own □ House HOW LONG?	I MONTHI V	PAYMENT(S)		EMAIL ADDRESS						
_ Own nouse	MONTE	TATMENT(0)		LW/ IIL / IDDI I COO						
Rent Apartment HOME ADDRESS/CITY/ STATE/ ZIP					ı	LIOME/MODILE DUONE				
HOME ADDRESS/CITY/ STATE/ ZIP						HOME/ MOBILE PHONE				
EMPLOYER NAME				WORK PHONE		LENGTH OF EMPLOYMENT				
EMPLOYER ADDRESS				EMPLOYER CITY	/ STATE/ ZIP					
☐ Married ☐ Divorced SPOUSE FIRST N	NAME/ MIDDLE NAME/ LAS	T NAME	DRIVER'S	S LICENSE NUMBER	DATE OF BIRTH	SOCIAL SECURITY NUMBER				
☐ Single ☐ Separated										
SPOUSE EMPLOYER NAME			1	WORK PHONE		LENGTH OF EMPLOYMENT				
SPOUSE EMPLOYER ADDRESS	SPOUSE EMPLOYER CITY/ STATE/ ZIP									
DATE HOME PURCHASED PURCHASE PRIC	CE CURRENT	MARKET VALUE	PRESENT LO	AN BALANCE(S)	LOAN NUMBER	MONTHLY PAYMENT(S)				
				` ,		, ,				
	BANK ADDRESS			t_		BANK PHONE NUMBER				
PERSONAL CHECKING ACCOUNT NUMBER	ACCOUNT BALANCE		PERSON	AL SAVINGS ACCOU	NT NUMBER	ACCOUNT BALANCE				
,						- · · · · · · · · · · · · · · · · · · ·				
NEAREST RELATIVE NAME	RELATIONSHIP	ADDRESS				PHONE NUMBER				
NEANEST NELATIVE NAIVIE	NELATIONOMIC	VDDKE99				F HONE NUMBER				
Have very very										
					third party liability	v? ☐ Yes ☐ No				
Have you, your spouse, or company ever : failed in any business venture?		☐ Yes	☐ No Are y	ou a guarantor for a	victed of a felopy?					
failed in any business venture? declared bankruptcy?		☐ Yes	☐ No Have	you ever been con ny of your assets ir	victed of a felony? Trust(s)?	☐ Yes ☐ No ☐ Yes ☐ No				
failed in any business venture?	which a claim was broug	☐ Yes	□ No Have □ No Are a □ No If you	you ever been con	victed of a felony? Trust(s)?	☐ Yes ☐ No ☐ Yes ☐ No				

INDEMNITY AGREEMENT - READ CAREFULLY. Your signature creates legal consequences to you.

In consideration of American Contractors Indemnity Company, Texas Bonding Company, U. S. Specialty Insurance Company and/or United States Surety Company referred to hereafter as "Surety," issuing the bond applied for, or any bond(s) in substitution for or in succession of the said bond(s), or any increase or extension of time of the said bond(s), or any new bond or any modifications, renewal or continuation of any of the foregoing, the undersigned hereby agree for themselves, their heirs, successors and assigns, jointly and severally:

- 1. To pay Surety an annual premium in advance each year during which liability under the bond shall continue in force and until satisfactory evidence of termination of the Surety's liability is furnished to the Surety.
- 2. To indemnify Surety against all losses, liabilities, costs, damages, attorneys' fees and expenses the Surety may incur or has incurred due to the execution and issuance of the bond on, before or after this date including any modifications, renewals or extensions of the bond or the enforcement of the terms of this indemnity agreement.
- 3. The Surety or its representatives shall have the right to examine the credit history, department of motor vehicle records, employment history, books and records of the undersigned or the assets covered by the bond, or the assets pledged as collateral for the bond. The undersigned hereby grants the Obligee the authority to release to the Surety and its authorized representatives, any and all relevant information necessary for the underwriting of this bond.
- 4. The undersigned agree to waive notice of the execution of the bond, notice of any fact, knowledge or information affecting the undersigned's rights or liabilities under the bond that Surety may have or discover prior to or after execution of the bond.
- 5. The undersigned, upon written demand, shall deposit with Surety a sum of money requested by Surety to cover any claim, suit, expense or judgment that Surety may in its absolute discretion determine is necessary and the deposit shall be pledged as collateral security on any such bond or other bonds the Surety may have issued for the undersigned. The undersigned hereby irrevocably appoints Surety as their attorney in fact to execute any documents necessary to perfect Surety's security interests in any collateral submitted to Surety. Surety shall have the exclusive right to determine if any claim or suit shall be denied, paid, compromised, defended or appealed. An itemized statement of payments made by Surety shall be prima facie evidence of the obligation of undersigned due to Surety. The undersigned agree that it is their responsibility to defend their own interests.
- 6. ASSIGNMENT As security for the performance of all the provisions of this agreement, effective as of the date of this agreement, the undersigned hereby assign, transfer, pledge and convey to Surety all of their right, title, interest and estate in and to all real and personal property which the undersigned now own or hereinafter acquire, including all income and receipts therefrom and increases and appreciation thereon, said assignment to include but not be limited to:
 - a. Any and all sums due or which may hereafter become due under any contract and all other sums due or to become due on all other contracts, in which any of the undersigned have an interest.
 - b. All rights arising out of notes, accounts receivable, and any claims of any kind and court actions.
 - c. Any and all undisbursed loan funds, deposit accounts or interest reserve accounts to which the undersigned may be entitled, and any and all collateral for the undertakings given by the undersigned in connection with any contract.
- 7. The Surety may, at its option, file or record this agreement or any other document executed by any or all the undersigned, individually or jointly, in connection with the application, issuance or execution of any bond or bonds, or renewal thereof, coming within the scope of this instrument as: a security agreement or as part of a financing statement or, as notice of its prior interest and assignment under the provisions of the Uniform Commercial Code or any other statute, ordinance or regulation of any jurisdiction or agency. The filing or recording of such document shall be solely at the option of the Surety. The failure to so file shall not release or discharge any of the obligations of the undersigned under this agreement. A copy or other reproduction of this agreement or of any other documents filed or recorded by the Surety, is sufficient as a financing statement, security agreement or notice of prior interest under this agreement.
- 8. Surety and undersigned agree that the place of performance of this agreement, including the promise to pay Surety, shall be in Los Angeles County, California, and venue for any suit, arbitration, mediation or any other form of dispute resolution shall be in Los Angeles County, California.
- 9. The obligations of the undersigned are in addition to and cumulative of all other liabilities and obligations under the laws of the State of California. The undersigned confirms that Surety shall have every right, defense or remedy including the rights of exoneration and subrogation.
- 10. Unless specified by law or stated in the bond that the bond cannot be cancelled, Surety may cancel bond by mailing a notice of cancellation in the U.S. mail to the Obligee and Principal at the last address provided to Surety and cancellation shall become effective thirty (30) days after the date of deposit with the United States Postal Service.
- 11. The undersigned unconditionally acknowledge and agree that: (1) they are solely responsible to procure and maintain any required license or permit and to renew, continue and/or replace any Bond; and (2) Surety, its agents, subagents, and/or brokers owe no duty with respect to the renewal, continuation or replacement of any Bond. The Undersigned release Surety, its agents, subagents and/or brokers from and against any and all liability, including, but not limited to, consequential damages resulting directly or indirectly from any license or permit lapse, suspension or termination resulting from any cause and/or failure to renew, continue or replace any Bond.

Regardless of the date of signature, this indemnity is effective as of the date of execution and renewal of the aforementioned bond(s) and is continuous until Surety is satisfactorily discharged from liability pursuant to the terms and conditions contained herein and in the bond(s).

	IMPORTANT SIGNA	TURE INSTRUCTIONS	
If sole owner, applicant and applicant spouse must	•	representative and individually. entative and individually. All authorized partners and s	chouses must sign
, ,		, ,	
	-	zed representative and individually. All members and	•
If a corporation, the president must sign as authorized	•	individually. All stockholders of 10% or more and spo	uses must sign.
	Each Signature	Must Be Notarized	
Signed, sworn to and o	dated this d	ay of	
3, -		-, ,	
PRINCIPAL (Company Name-			
exactly as it appears on the bond)			
X		Χ	
Authorized Representative and Individually	Print Name	Authorized Representative and Individually	Print Name
X		X	
Authorized Representative and Individually	Print Name	Authorized Representative and Individually	Print Name
Any person who knowingly and with inte	nt to defraud any insura	ance company or other person files an application for	insurance or

bonds containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime

NOTARY ACKNOWLEDGEMENT

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document STATE OF _ § § COUNTY OF ___ __ before me, __ ____ personally appeared __ _, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of ______ that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Signature_ _____ (Seal) A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document STATE OF ___ 888 COUNTY OF _____ ______ before me, _____ personally appeared _____ _, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of ______ that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Signature (Seal)





Los Angeles, CA 90017 USA Tel: 310-649-0990

Personal Financial Statement

Not to be used for Business Statements

ersonal financial statement for	SSN
	Name
Street	Address, City, State, ZIP
	No Bus. Phone No
Name of Spouse	
As of	·
Month	Day Year
CURRENT ASSETS	CURRENT LIABILITIES
Cash on hand (not in bank)	Notes payable to (names & addresses):
Stocks and bonds (Schedule 1).	Sales Contracts & Chattel Mtgs. (Sch. 6)
Accounts receivable (Schedule 2)	Accounts payable
	Current Year's Income Taxes Unpaid
TOTAL CURRENT ASSETS	TOTAL CURRENT LIABILITIES
FIXED ASSETS	LONG TERM LIABILITIES
Real estate (Schedule 4): Residence	Real estate debt (Schedule 4): Residence
Cash value of life insurance (Schedule 5)	Borrowed on life insurance (Schedule 5)
	Other long term debt (Schedule 6)
	TOTAL LONG TERM LIABILITIES
TOTAL FIXED ASSETS	NET WORTH
TOTAL ASSETS	TOTAL LIABILITIES AND NET WORTH
1	1

Name of Security	No. Shares	If any p	ledge, State to	o Whom	and for	What Pu	pose	Div. Paid La	st 2 Yrs	Ma	arket V	/alue	В	ook Value
								TOTALS		\$			\$	
2. ACCOUNTS RECI		oit / Cross	Whom Due			or \\/bot :	s it Doo		\\/ha	n Sold	\\/ha	n Due	Λ	aunt
Name and Address	s (street and t	city) From	whom Due		Г	or What i	s it Due	<u> </u>	vvriei	1 5010	vvne	n Due	AII	ount
											TOT	AL	\$	
3. NOTE RECEIVAB	. =													
Name and Address		city) From	Whom Due	For What Due		Due	Но	ow Secured		Date		iturity	A	Amount
											TOTA	AL	\$	
4. REAL ESTATE						ı								
Description of Prop	erty	Title in Na	me of	Marke	Market Value Co		t Date Acquired		Amount Encumbrance		се	Monthly Payments		Monthly Income
				TOTAL										
5. LIFE INSURANCE	– CASH VAI	LUE												
Name of Compan	y Policy	Number	er Name of Insured		red Beneficiary		Face Value	Cash Value		alue	e Amount Borrowed		Borrowed	
6. OTHER ASSETS														
Other Current Assets (itemize)				Other Current Liabilities (itemize								Amou	ınt	
The information	alasal to the		4 (a. m.m. 2 d. 1)	fa 41		-f -l-1-1	·			41			L	lauai arrayl
The information cont persons, firms or co undersigned underst deciding to grant or consider this statement make all inquiries you authorized to answer	rporations in ands that yo continue creatent as continue under the continuous deem necessites.	whose but are rely dit. Each ut ling to be essary to	ehalf the und ving on the in undersigned re true and corre verify the acc	ersigned formation epresent ect until a curacy o	d may e on provice ts and w a written f the sta	ither sev ded here varrants t notice of	erally n (incl nat <u>the</u> a cha	or jointly with luding the desented information progeries in given to	other, signation or ovided by you by	execute made is true the und	a gua as to and c dersigr	aranty ir owners omplete ned. Yo	n your hip of and t u are	favor. Ea property) hat you m authorized
					Sic	ınature								

Date Signed _____

Signature _____

Soc. Sec. No. _____ Date of Birth _____



Fraud Warnings and Privacy Notice

Fraud Warnings

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

APPLICABLE IN ALABAMA

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution, fines or confinement in prison, or any combination thereof.

APPLICABLE IN ARKANSAS, LOUISIANA AND WEST VIRGINIA

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

APPLICABLE IN CALIFORNIA

For your protection California law requires the following to appear on this form. Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

APPLICABLE IN COLORADO

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement of award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

APPLICABLE IN THE DISTRICT OF COLUMBIA

WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits, if false information materially related to a claim was provided by the applicant.

APPLICABLE IN FLORIDA

Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

APPLICABLE IN HAWAII

For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

APPLICABLE IN KANSAS

Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

APPLICABLE IN KENTUCKY

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

APPLICABLE IN MAINE

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits.



Fraud Warnings and Privacy Notice

APPLICABLE IN MARYLAND

Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

APPLICABLE IN MINNESOTA

Any person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

APPLICABLE IN NEW JERSEY

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

APPLICABLE IN OHIO

Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deception statement is guilty of insurance fraud.

APPLICABLE IN OKLAHOMA

WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

APPLICABLE IN OREGON

Material misstatements, misrepresentations, omissions or concealments by an insured that are fraudulent or material to the insurance contract, the risk assumed, or the interests of an insurer and are relied upon by an insurer may result in policy rescission, cancellation, or denial of claim.

APPLICABLE IN TENNESSEE, VIRGINIA AND WASHINGTON

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines or denial of insurance benefits.

APPLICABLE IN VERMONT

Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

NOTICE TO NEW YORK APPLICANTS:

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

FACTS

WHAT DOES TOKIO MARINE HCC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information may include:

- Account and transaction information;
- Contact and demographic information;
- Financial information:
- Claims information: and
- Credit history.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Tokio Marine HCC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Tokio Marine HCC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes—information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes—information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	N/A

To limit our sharing

- Call (888) 688-0775 our menu will prompt you through your choice(s).
- Email us online: privacypolicy@tmhcc.com.

Please note:

When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call (888) 688-0775 or send us an email at privacypolicy@tmhcc.com.

Who we are	
Who is providing this notice?	Tokio Marine HCC is the trading name of HCC Insurance Holdings, Inc.
What we do	
How does Tokio Marine HCC protect my personal information?	To protect your personal information from unauthorized access and use, we maintain reasonable administrative, technical and physical safeguards designed to protect your personal information against accidental, unlawful or unauthorized destruction, loss, alteration, access, disclosure or use.
How does Tokio Marine HCC collect my personal information?	 We collect your personal information, for example, when you: Sign up for and use our services, including when you choose to provide us with your information online or offline; Interact with our website and mobile applications; Obtain an insurance product from us through a broker, where we may collect personal information from your broker in order to prepare your quote and/or your insurance policy; and/or Submit an insurance claim, so we can properly handle your claim. We may also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	 Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes—information about your creditworthiness; affiliates from using your information to market to you; and sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. Our affiliates include our family of companies, available at https://www.tokiomarinehd.com/en/company/about/group.html .
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Our joint marketing partners include categories of companies such as insurance companies.
Other important information	
For more information, please review our priva	acy policy, located at https://www.tmhcc.com/en-us/legal/privacy-policy .